

*This brochure supplement provides information about Curt Heinz Zimmermann that supplements the Zimmermann Investment Management & Planning brochure. You should have received a copy of that brochure. Please contact Curt Heinz Zimmermann if you did not receive Zimmermann Investment Management & Planning's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Curt Heinz Zimmermann is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Zimmermann Investment Management & Planning**

**Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Curt Heinz Zimmermann**

Personal CRD Number: 1520383

Investment Adviser Representative

Zimmermann Investment Management & Planning

502 Bridge Street

New Cumberland, PA 17070

(717) 439-3089

[czim@zimllc.com](mailto:czim@zimllc.com)

UPDATED: 01/2809/13/2021

## Item 2: Educational Background and Business Experience

**Name:** Curt Heinz Zimmermann **Born:** 1964

### Educational Background and Professional Designations:

#### Education:

Bachelor's degree in Finance, Indiana University of Pennsylvania - 1986

#### Business Background:

07/2018 - Present	Investment Adviser Representative Zimmermann Investment Management & Planning
01/2020 - Present	Registered Representative Purshe Kaplan Sterling
04/2000 - 12/2019	Vice President/Investment Advisor Representative Professional Financial Advisors Inc.
07/2001 - 01/2020	President, Registered Representative PFA Security Asset Management Inc
03/1998 - 01/2020	Vice President/ Agent Professional Asset Management Advisors Inc.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Curt Heinz Zimmermann is a registered representative. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Zimmermann Investment

Management & Planning always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services any representative of Zimmermann Investment Management & Planning in such individual's outside capacity.

Curt Heinz Zimmermann is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Zimmermann Investment Management & Planning always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Zimmermann Investment Management & Planning in their capacity as a licensed insurance agent.

Curt Heinz Zimmermann is a board member of the Susquehanna Conference of Brethren in Christ.

Curt Heinz Zimmermann is a partner at Around the Post Pickleball LLC.

### **Item 5: Additional Compensation**

Curt Heinz Zimmermann does not receive any economic benefit from any person, company, or organization, other than Zimmermann Investment Management & Planning in exchange for providing clients advisory services through Zimmermann Investment Management & Planning.

### **Item 6: Supervision**

As a representative of Zimmermann Investment Management & Planning, Curt Heinz Zimmermann works closely with the supervisor, Kimberly I. Swope, and all advice provided to clients is reviewed by the supervisor prior to implementation. Curt Heinz Zimmermann adheres to applicable regulations regarding the activities of an Investment Adviser Representative, together with all policies and procedures outlined in the firm's code of ethics and compliance manual. Kimberly I. Swope's phone number is 717-403-6030.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Curt Heinz Zimmermann has not been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Curt Heinz Zimmermann has not been the subject of a bankruptcy petition.